MEMORANDUM

To: SCPD Policy & Law Committee

From: Brian J. Hartman

Re: Recent Regulatory Initiatives

Date: September 8, 2015

Consistent with the requests of the SCPD and GACEC, I am providing an analysis of four (4) regulatory initiatives published in the September issue of the Register of Regulations. Given time constraints, the analysis should be considered preliminary and non-exhaustive.

1. DMMA Final Telemedicine Originating Site Reg. [19 DE Reg. 191 (9/1/15)]

The SCPD and GACEC commented on the proposed version of this regulation in July, 2015. A copy of the July 29, 2015 SCPD memo is attached for facilitated reference. The Division of Medicaid & Medical Assistance (DMMA) is now adopting a final regulation incorporating an amendment prompted by the Councils’ commentary.

The intent of the proposed regulation was to clarify that a Medicaid beneficiary could participate in telemedicine from the beneficiary’s residence. The Councils noted that the proposed language could be construed as excluding other sites. The Councils recommended adoption of a broader standard. DMMA agreed and amended the final regulation to conform verbatim to the Councils’ suggested standard as follows:

An approved originating site may include the DMAP member’s place of residence [day program, or alternate location in which the member is physically present and telemedicine can be effectively utilized].

Since the regulation is final and DMMA adopted the Councils’ recommended amendment, I recommend sending a “thank you” communication.
2. DMMA Proposed Hippotherapy Regulation [19 DE Reg. 164 (9/1/15)]

The Division of Medicaid & Medical Assistance (DMMA) proposes to adopt a Medicaid State Plan amendment to add therapeutic horseback-riding (hippotherapy) as a form of approved physical, occupational, or speech therapy.

Background on hippotherapy is contained in the attached Wikipedia article. More information is available through the website of the American Hippotherapy Association, Inc. at http://www.americanhippotherapyassociation.org/

The Councils may wish to support this initiative subject to consideration of a few amendments.

First, §1.1.6 requires therapists to have a “HCPS” certification:

1.1.6. Therapists that provide Hippotherapy must be certified by the American Hippotherapy Certification Board as a Hippotherapy Professional Clinical Specialist (HCPS).

The Board’s website indicates that there is only one therapist in Delaware with the certification, a single upstate OT. See http://www.americanhippotherapyassociation.org/find-a-therapist-2/. The Board also maintains a list of approval “member therapists” who have completed at least some coursework. There is one ST in Delaware who has “member therapist” status. Id. Given that there is only 1 therapist in the entire State with the required certification, the Division may wish to consider expanding the scope of therapists eligible to provide Hippotherapy under the Medicaid program on a provisional basis. For example, DMMA could adopt a transitional standard in which “member therapists” could also provide Hippotherapy under the Medicaid program with a defined expiration date. This would provide some time to achieve full HCPS certification. Consider the following amendment:

1.1.6. Therapists that provide Hippotherapy must be certified by the American Hippotherapy Certification Board as a Hippotherapy Professional Clinical Specialist (HCPS). [Given the low number of Delaware therapists with HCPS certification, a therapist enrolled as an American Hippotherapy Association “member therapist” may bill the DMAP for Hippotherapy provided through December 31, 2016.]

Second, the Medicaid Plan excerpt included in the proposed regulation contains the following provision which is not earmarked for revision:

3.3 Services Not Covered
   3.3.1 Occupational therapy services that are not covered include but are not limited to OT services which are not intended to improve functions. is not covered by DMAP.

At 169.
Apart from the obvious grammatical problems with this subsection, its substance is inconsistent with federal regulation and the DMMA medical necessity regulation. It literally limits OT to “medical improvement”. In contrast, 42 C.F.R. 440.110(b) (reproduced on p. 165) authorizes OT for both “medical improvement” AND restoration of function. The DMMA “medical necessity” regulation does not require services to result in medical improvement, i.e. services can “restore” or “prevent worsening” of function. See attached regulatory definition [2 DE Reg. 1249 (1/1/99)]. See also attached correspondence from Delaware Medicaid Director disapproving an MCO denial notice based on a “chronic” condition which would “not significantly improve ... with occupational therapy”. Section 3.3.1 literally bars coverage of OT which would restore or prevent the worsening of effects of a condition. The entire subsection could be deleted since it is grammatically infirm, substantively incorrect, and superfluous (other sections define the scope of covered OT). The Division is authorized to informally correct this section pursuant to Title 29 Del.C. §10113(b)(4)(5).

The above observations could be shared with the Division.

3. DOE Prop. License & Cert. of DOE, Adult & Prison Education Emp. Reg [19 DE Reg. 163 (9/1/15)]

The Department of Education proposes revisions to its standards applicable to public education employees in the Department, in Adult Education, and in Prison Education Programs whose work responsibilities are directly related to curriculum and instruction. The standards are authorized by Title 14 Del.C.§121( c). The standards are lengthy and prescriptive and liberally incorporate other DOE regulations by reference.

I have only a few technical observations.

First, the numbering of §10.0 should be corrected. It appears as “710.0”.

Second, the DOE should consider some clarifying revisions to address DOE employees. For example, in §1.0, there is a definition of “public education employee” which includes DOE employees. However, the term “public school employees” is used in other sections. See §1.0, definitions of “Instructional Paraeducator”, “Service Paraeducator”, and “Title 1 Paraeducator”. The term “public school” is generally applied to district and charter schools but not the DOE. I assume that the DOE would at least employ instructional paraeducators in the prison program consistent with §9.1. At a minimum, the definition of “Instructional Paraeducator” could be amended by substituting “public education employee” for “public school employee”.

The Councils may wish to share the above observations with the DOE and SBE.

4. DOE Proposed Accountability Regulation [19 DE Reg. 162 (9/1/15)]

The Department of Education proposes to adopt a sweeping revision of its school and district accountability standards. The impetus for changes include the Department’s recently approved ESEA Flexibility application and the attached §364 from the epilog to the FY16 budget bill. At 162. The resulting 19-page regulation is highly prescriptive and detailed.
I have the following observations.

First, enabling legislation contemplates that the accountability system applies to charter schools. See, e.g., Title 14 Del.C. §§124A(a) and 154(a). Section 1.1 also applies the accountability regulation to charter schools. In contrast, references to charter schools are explicitly stricken in some of the regulations (e.g., §§7.4.3.3.1 and 7.4.3.4.1.1) and other references only mention “districts” or schools within districts. See, e.g., §§ 7.4.3 and 9.2. The DOE may wish to review such references to ensure consistency in application of standards.

Second, §1.0 replaces the “DCAS” reference and renames the assessment system as the “Delaware System of Student Assessment System (DeSSA). See §1.0, definition of Delaware System of Student Assessment System”. I suspect that the second reference to “System” should be deleted as redundant. It should read “Delaware System of Student Assessment”.

Third, it is unclear whether the standards apply to the prison education program. It would be useful to include the prison education program in the assessment system for evaluative purposes. The DOE may wish to clarify the application of the regulation to the program.

Fourth, the standards for demonstrating “success” in the “College and Career Readiness” category are ostensibly very liberal. See §2.4.4.3. It is “counterintuitive” that some of the qualifying achievements equate to demonstrated success in college/career readiness. For example, achieving a grade of B or above in a single non-elective course qualifies as demonstrating success in overall college/career readiness. Achieving a score of three or above in any Advanced Placement exam (e.g. foreign language) qualifies as demonstrating overall success in college/career readiness. These relatively low benchmarks could be reconsidered.

Fifth, consistent with the attached September 3, 2015 News Journal article, federal standards require a 95% participation rate in the assessment. Given the “opt-out” movement, some districts and schools do not have 95% participation. Id. Section 2.5 contains an “in terrorem” recital that school ratings will be reduced based on low participation rates: “Any school with fewer than 95% of the students in the aggregate or within each subgroup participating in the State assessments of English language arts or mathematics shall have its accountability rating reduced, as determined by the Department.” This may provide schools with an incentive to encourage student participation in the assessment system.

Sixth, §4.2 recites that a student who answers at least 6 items but does not complete at least 60% of questions in a content area will not be assigned an achievement level. This could be reconsidered. A parent may prefer to receive at least some rating. Moreover, even if a student answers less than 60% of questions, the responses may be “diagnostic” or helpful to teachers in assessing strengths and weaknesses.
Seventh, the regulations contemplate development of remedial plans and submission of periodic reports for low-achieving schools. See, e.g., §7.1, 7.2, 7.3, 7.4, and 7.4.3. It would be preferable to explicitly require publication of the reports on school or district websites.

Eighth, the “1 school year” time period for “focus schools” and “focus plus” schools to develop a remedial plan (§§7.2.2.2 and 7.3.2) is ostensibly too long. “Action list” schools must develop a plan by December 1 (§7.1.2). The “1-school year” timetable is consistent with a laissez faire approach rather than reflecting the urgency of revamping a failing system. Schools will know their ratings in September (§10.1). Alternatively, the DOE could require development of an interim plan by January 1 and final plan by July 1 of the following year.

The Councils may wish to share the above observations with the DOE and SBE.

Attachments

8g:legreg915bils
P:pub/bjh/legis/2015p&i/915bils
July 29, 2015

Sharon Summers  
Planning & Policy Development Unit  
Division of Medicaid & Medical Assistance  
1901 N. DuPont Hwy.  
P.O. Box 906  
New Castle, DE 19720-0906

RE: DMMA Proposed Telemedicine Originating Site Regulation [19 DE Reg. 20 (07/01/15)]

Dear Ms. Summers:

The Governor’s Advisory Council for Exceptional Citizens (GACEC) has reviewed the Division of Medicaid and Medical Assistance (DMMA) proposal to adopt a State Medicaid Plan amendment to define an approved originating site as including a patient’s place of residence.

As background, DMMA has covered telemedicine in its Medicaid program on a statewide basis since July, 2012. At 21. The State has generally been expanding use of telemedicine in recent years. The Legislature passed House Bill No. 69 in the spring of 2015 to promote health insurer support of telemedicine. The synopsis to the bill suggests that it is also intended to “encourage all state agencies to evaluate and amend their policies and rules to foster and promote telemedicine services”. Council supports this proposal since it clarifies that an approved originating site can include a patient’s place of residence. However, the GACEC would like to share the following potential amendments.

First, the reference to place of residence could be construed to mean that other non-traditional sites are excluded. By solely citing “place of residence”, application of interpretive guidance (inclusio unius est exclusio alterius) could result in limiting the scope of other settings. At a minimum, it would therefore be preferable to amend the reference as follows: “Without limitation. (A) an approved originating site may include the DMAP member’s place of residence.”

Second, House Bill No. 69 broadly defines “originating site” to include “a site in Delaware at which a patient is located at the time health care services are provided....”. This would include anywhere the patient is physically present, including non-residential settings such as day programs (e.g. Easter Seal; Elwyn). If desired, DMMA could consider the following more expansive standard: “An
approved originating site may include the DMAP member’s place of residence, day program, or alternate location in which the member is physically present and telemedicine can be effectively utilized.”

Thank you for your consideration of our comments. If you have any questions, please contact me or Wendy Strauss at the GACEC office.

Sincerely,

Robert D. Overmiller
Chairperson

RDO:kpc
Hippotherapy
From Wikipedia, the free encyclopedia

Hippotherapy is a form of physical, occupational and speech therapy in which a therapist uses the characteristic movements of a horse to provide carefully graded motor and sensory input. A foundation is established to improve neurological function and sensory processing, which can be generalized to a wide range of daily activities. Unlike therapeutic horseback riding (where specific riding skills are taught), the movement of the horse is a means to a treatment goal when utilizing hippotherapy as a treatment strategy.

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History

Derived from the Greek *hippos* (horse), "hippotherapy" literally refers to treatment or therapy aided by a horse. The concept of hippotherapy finds its earliest recorded mention in the ancient Greek writings of Hippocrates. However, hippotherapy as a formalized discipline was not developed until the 1960s, when it began to be used in Germany, Austria, and Switzerland as an adjunct to traditional physical therapy.[1] In Germany hippotherapy was treatment by a physiotherapist, a specially trained horse, and a horse handler. The theories of physiotherapy practice were applied; the physiotherapist gave directives to the horse handler as to the gait, tempo, cadence, and direction for the horse to perform. The movement of the horse was carefully modulated to influence neuromuscular changes in the patient. The first standardized hippotherapy curriculum would be formulated in the late 1980s by a group of Canadian and American therapists who traveled to Germany to learn about hippotherapy and would bring the new discipline back to North America upon their return.[1] The discipline was formalized in the United States

https://en.wikipedia.org/wiki/Hippotherapy
in 1992 with the formation of the American Hippotherapy Association (AHA). Since its inception, the AHA has established official standards of practice and formalized therapist educational curriculum processes for occupational, physical and speech therapists in the United States.[1]

Modern hippotherapy

See also: Animal-assisted therapy

Equine-assisted therapy is an umbrella term for therapy incorporating the equine environment into a treatment session within the scope of a therapist's practice and professional designation. Physical and occupational therapists, physical and occupational therapy assistants, and speech and language pathologists practicing hippotherapy incorporate the horse's movement into the total care plan for their patients.

In the mental-health field, social workers, psychologists and mental-health providers may incorporate equine-assisted psychotherapy into their treatment sessions. This is different from hippotherapy, where the movement of the horse influences or facilitates an adaptive response in the patient. Forms of equine assisted psychotherapy may have the patient on or off the horse, and the treatment is not focused on a set of specific movements for the horse to produce an adaptive response in the patient.

In the United States, the American Hippotherapy Association (AHA) offers education to therapists, promotes research in equine-assisted therapy and provides continuing education courses.

The role of the horse

The horse's pelvis has a similar three-dimensional movement to the human's pelvis at the walk. The horse's movement is carefully graded at the walk in each treatment for the patient. This movement provides physical and sensory input which is variable, rhythmic and repetitive. The variability of the horse's gait enables the therapist to grade the degree of input to the patient and use this movement in combination with other treatment strategies to achieve desired therapy goals or functional outcomes. In addition, the three-dimensional movement of the horse's pelvis leads to a movement response in the patient's pelvis which is similar to the movement patterns of human walking. A foundation is established to improve neurological function and sensory processing, which can be generalized to a wide range of daily activities and address functional outcomes and therapy goals.

Indications

Hippotherapy has been used to treat patients with neurological or other disabilities, such as autism, cerebral palsy, arthritis, multiple sclerosis, head injury, stroke, spinal cord injury, behavioral disorders and psychiatric disorders. The effectiveness of hippotherapy for many of these indications is unclear, and more research has been recommended. There is a lack of scientific evidence regarding the effectiveness of hippotherapy in the treatment of autism.[2] The Argentine Institute for Clinical Effectiveness and Health Policy concluded, in a study of the evidence for the efficacy of hippotherapy, that there were generally significant problems in terms of study design and/or methodology. "The
efficacy of this therapy does not seem to have been sufficiently proven for any specific indication. Its recreational role and impact on the quality of life of these patients have not been sufficiently analyzed."[3]

Use in physical, occupational, speech and language therapies

Physical therapists who have had training in hippotherapy may incorporate the multi-dimensional movement of the horse to achieve gait training, balance, postural/core control, strengthening and range of motion goals. Improvement in gross motor skills and functional activities for developing children with disabilities has been reported. Impairments are addressed through the variability of the horse's movement by modifying the rhythm, tempo and cadence of the horses movement.

Occupational therapists providing hippotherapy utilize the movement of the horse to improve motor control, coordination, balance, attention, sensory processing and performance in daily tasks. The reciprocal multi-dimensional movement of the horse helps with the development of fine motor skills, visual motor skills, bilateral control and cognition as well. Sensory processing via hippotherapy simultaneously addresses the vestibular, proprioceptive, tactile, visual and auditory systems. The occupational therapist incorporates the movement of the horse, hippotherapy, to modulate the sensory system in preparation for a therapy or treatment goal that leads to a functional activity.

Hippotherapy has also seen use in speech and language pathology. Hippotherapy uses a horse to accomplish traditional speech, language, cognitive, and swallowing goals. Using hippotherapy, appropriate sensory processing strategies have been integrated into the treatment to facilitate successful communication.[4]

Certification

The American Hippotherapy Association offers certification qualifications for working as a hippotherapist. Hippotherapy Clinical Specialty (HPCS) Certification is a designation indicating board certification for therapists who have advanced knowledge and experience in hippotherapy. Physical therapists, occupational therapists, and speech-language pathologists in practice for at least three years (6,000 hours) and have 100 hours of hippotherapy practice within the prior three years are permitted to take the Hippotherapy Clinical Specialty Certification Examination through the American Hippotherapy Certification Board. Those who pass are board-certified in hippotherapy, and entitled to use the HPCS designation after their name. HPCS certification is for five years. After five years the therapist can either retake the exam or show written evidence of 120 hours of continuing education distributed over the five years. Continuing education must include 50% (60 hours) in education related to equine subject matter: psychology, training, riding skills and so on; 25% (30 hours) in education related to direct service in the professional discipline and 25% (30 hours) in any other subject related to hippotherapy. An alternative is to provide written evidence of scholarly activity appropriate to the field of hippotherapy. Acceptable scholarly activity may include graduate education in hippotherapy, publication of articles on hippotherapy in juried publications, scientific research related to hippotherapy, the teaching or development of hippotherapy, or acting as AHA-approved course faculty. AHA, Inc now recognizes two different AHCB credentials: AHCB Certified Therapist and AHCB Certified Hippotherapy Clinical Specialist. [5]
Professional Association of Therapeutic Horsemanship (PATH) International, offers similar licensing and certification processes, for the center hosting hippotherapeutic activities. Accreditation is a voluntary process that recognizes PATH Intl. Centers that have met established industry standards. The accreditation process is a peer review system in which trained volunteers visit and review centers in accordance with PATH Intl. standards. A center that meets the accreditation requirements based on the administrative, facility, program and applicable special interest standards becomes a PATH Intl. Premier Accredited Center for a period of five years. There are more than 850 Professional Association of Therapeutic Horsemanship International (PATH Intl.) Centers in the United States and around the world providing equine-assisted activities and therapies. These member centers range from small, one-person programs to large operations with several certified instructors and licensed therapists. In addition to therapeutic equitation, a center may offer any number of equine-assisted activities and therapies, including Hippotherapy, equine facilitated mental health, driving, vaulting, trail riding, competition, ground work or stable management. [6]

See also

- Occupational therapy
- Physiotherapy

References

5. "AHCBA – How to become certified – HPCS / AHCBA Certified Therapist" (http://www.americanhippotherapyyassociation.org/education/aha-how-to-become-certified-hpcs/).
6. "PATH International" (http://www.pathintl.org/).

External links

- American Hippotherapy Association (AHA) (http://www.americanhippotherapyyassociation.org/)
- Federation of Riding for the Disabled International (http://www.frdi.net/)
- North American Riding for the Handicapped Association (NARHA) (http://www.narha.org/)


Categories: Equine therapies | Human–animal interaction | Physical therapy | Occupational therapy

at a minimum, include the following:

1.2.1 Students in grades 1-8 must receive instruction in English Language Arts or its equivalent, mathematics, social studies and science each year as defined in the Delaware Content Standards.

1.2.2 Students in grades 1-8 must pass 50% of their instructional program each year (excluding physical education) to be promoted to the next grade level. One of the subject areas that must be passed is English Language Arts or its equivalent. English Language Arts or its equivalent includes English as a Second Language (ESL), and bilingual classes that are designed to develop the English language proficiency of students who have been identified as LEP. Classes in English Language Arts, mathematics, science and social studies include those which employ alternative instructional methodologies designed to meet the needs of LEP students in the content areas.

It was determined that no written materials or suggestions had been received from any individual or the public.

FINDINGS OF FACT:

The Department finds that the proposed changes, as set forth in the attached copy should be made in the best interest of the general public of the State of Delaware.

THEREFORE, IT IS ORDERED that the proposed regulations of the Child Care Manual and the elimination of the First Step Manual are adopted and shall become effective ten days after publication of the final regulation in the Delaware Register.

November 30, 1998
GREGG C. SYLVESTER, MD
SECRETARY

* Please note that no changes were made to the regulation as originally proposed and published in the October 1998 issue of the Register at page 466 (2:4 Del. R. 466). Therefore, the final regulation is not being republished. Please refer to the October 1998 issue of the Register or contact the Department of Health & Social Services

DEPARTMENT OF HEALTH AND SOCIAL SERVICES
DIVISION OF SOCIAL SERVICES
Statutory Authority: 31 Delaware Code, Section 512 (31 Del.C. 512)

IN THE MATTER OF:

REVISION OF THE CHILD CARE
AND THE FIRST STEP REGULATIONS
NATURE OF THE PROCEEDINGS:

The Delaware Health and Social Services, Division of Social Services, initiated proceedings to change policy governing the Child Care and First Step programs to the Division of Social Services' Manual Sections 11000 and 12000, pursuant to the Administrative Procedures Act. The policy changes arose from the Personal Responsibility and Work Opportunity Act, the new Child Care and Development Block Grant and A Better Chance provisions.

On September 9, 1998, the DHSS published in the Delaware Register of Regulations (pages 466-485) its notice of proposed regulation changes, pursuant to 29 Delaware Code Section 10115. It requested that written materials and suggestions from the public concerning the proposed be delivered by October 31, 1998, at which time the Department would review information, factual evidence and public comment to the said proposed changes to the regulations.

DIVISION OF SOCIAL SERVICES
Statutory Authority: 31 Delaware Code, Section 505 (31 Del.C. 505)

Medicaid / Medical Assistance Program

IN THE MATTER OF:

REVISION OF THE REGULATIONS
OF THE MEDICAID/MEDICAL
ASSISTANCE PROGRAM

NATURE OF THE PROCEEDINGS:

The Delaware Department of Health and Social Services ("Department") initiated proceedings to update the Medicaid definition of Medical Necessity. The Department's proceedings to amend its regulations were initiated pursuant to 29 Delaware Code Section 10114 and its authority as prescribed by 31 Delaware Code Section 512.

The Department published its notice of proposed
regulation changes pursuant to 29 Delaware Code Section 10115 in the November 1998 Delaware Register of Regulations, requiring written materials and suggestions from the public concerning the proposed regulations to be produced by December 1, 1998, at which time the Department would receive information, factual evidence and public comment to the said proposed changes to the regulations.

A recent publication of Federally mandated Medicaid policy required that the definition of medical necessity be revised before being made final. Therefore, following is the revised definition as it will appear in Delaware Medicaid policy.

FINDINGS OF FACT:

The Department finds that the proposed changes as set forth in the November 1998 Register of Regulations should be adopted as amended.

THEREFORE, IT IS ORDERED, that the proposed regulations of the Medicaid/Medical Assistance Program are adopted and shall be final effective January 10, 1999.

December 9, 1998
Gregg C. Sylvester, M.D.
Secretary

MEDICAL NECESSITY DEFINITION

MEDICAL NECESSITY is defined as:

the essential need for medical care or services (all covered State Medicaid Plan services, subject to age and eligibility restrictions and/or EPSDT requirements) which, when prescribed by the beneficiary’s primary physician care manager and delivered by or through authorized and qualified providers, will:

- be directly related to the diagnosed medical condition or the effects of the condition on the beneficiary (the physical or mental functional deficits that characterize the beneficiary’s condition), and be provided to the beneficiary only;
- be appropriate and effective to the comprehensive profile (e.g. needs, aptitudes, abilities, and environment) of the beneficiary and the beneficiary’s family;
- be primarily directed to treat the diagnosed medical condition or the effects of the condition on the beneficiary, in all settings for normal activities of daily living, but will not be solely for the convenience of the beneficiary, the beneficiary’s family, or the beneficiary’s provider (this means that services which are primarily used for educational, vocational, social, recreational, or other non-medical purposes are not covered under the Medicaid program) and—not include medications, devices, or services that are used primarily to provide lifestyle enhancements.

even if conditions are medically based (for example: Viagra, Weight-Watchers, etc.)

- be timely, considering the nature and current state of the beneficiary’s diagnosed condition and its effects, and will be expected to achieve the intended outcomes in a reasonable time;
- be the least costly, appropriate, available health service alternative, and will represent an effective and appropriate use of program funds;
- be the most appropriate care or service that can be safely and effectively provided to the beneficiary, and will not duplicate other services provided to the beneficiary;
- be sufficient in amount, scope and duration to reasonably achieve its purpose;
- be recognized as either the treatment of choice (i.e. prevailing community or statewide standard) or common medical practice by the practitioner’s peer group, or the functional equivalent of other care and services that are commonly provided;
- be rendered in response to a life threatening condition or pain, or to treat an injury, illness, or other diagnosed condition, or to treat the effects of a diagnosed condition that has resulted in or could result in a physical or mental limitation, including loss of physical or mental functionality or developmental delay;

and will be reasonably determined to:

- diagnose, cure, correct or ameliorate defects and physical and mental illnesses and diagnosed conditions or the effects of such conditions; or

- prevent the worsening of conditions or effects of conditions that endanger life or cause pain, or result in illness or infirmity, or have caused or threaten to cause a physical or mental dysfunction, impairment, disability, or developmental delay; or

- effectively reduce the level of direct medical supervision required or reduce the level of medical care or services received in an institutional setting or other Medicaid program; or

- restore or improve physical or mental functionality, including developmental functioning, lost or delayed as the result of an illness, injury, or other diagnosed condition or the effects of the illness, injury or condition; or

- provide assistance in gaining access to needed medical, social, educational and other services required to diagnose, treat, or support a diagnosed condition or the effects of the condition,

in order that the beneficiary might attain or retain independence, self-care, dignity, self-determination, personal safety, and integration into all natural family, community, and facility environments and activities.
June 16, 1998

Mr. Philip Soule  
Medicaid Director, Division of Social Services  
Herman M. Holloway Sr. Campus  
1901 N. DuPont Highway - Lewis Bldg.  
New Castle, DE 19720

Dear Mr. Soule:

On behalf of the Division of Mental Retardation Assistive Technology Committee, I am writing to request that the Division of Social Services review Medicaid MCO notices and denial codes.

As background, the DMR Assistive Technology Committee recently reviewed the enclosed correspondence. The Committee has two (2) principal concerns. These were shared with Dave Michalk and Cindy Miller who attended the June 4 Committee meeting.

Chronic Condition Exclusion

First, DSS has approved an exclusion for services directed at “chronic” conditions. Specifically, an MCO may deny a service based on the following reason code:

Your problem is chronic. It will not significantly improve in the allowed timeframe with physical therapy, speech therapy, occupational therapy...

Such a provision is an “open invitation” to deny services to consumers with mental retardation whose realistic goal may be simply to prevent deterioration or maintain current functional levels. It is also inconsistent with the DSS “medical necessity” regulation which authorizes services which “prevent the worsening of conditions or effects of conditions that endanger life or cause pain, or result in illness or infirmity, or have caused or threaten to cause a physical or mental dysfunction, impairment, disability, or developmental delay.” The same regulation similarly includes a goal of retention (as juxtaposed to “improvement”) of independence and self-care. The specific reference establishes the following service goal: “the beneficiary might...retain independence, self-care, dignity, self-determination, personal safety,...”
Finally, it reinforces the acute-care bias which insurers have traditionally adopted to exclude coverage of services to persons with developmental disabilities.

Second, the Committee is concerned that several denial codes lack sufficient specificity to be meaningful. For example, the following reason codes are DSS-approved:

The procedure, service, or item does not meet our medical guidelines for coverage.

More conservative therapy should be considered.

On a practical level, the consumer (or DMR casemanager) can only speculate what unknown “guideline” would be violated. Concomitantly, the puzzled consumer is left to divine what “more conservative therapy” might be. Does this mean the MCO will only approve group versus individual therapy, fewer therapy sessions, or an exercise program in lieu of therapy?

Legally, such obtuse codes also appear “at odds” with the authorities cited in Mr. Hartman’s correspondence. DSS regulations mandate that notices must contain “information needed for the claimant to determine from the notice alone the accuracy of the intended action” and “detailed individualized explanation of the reason(s) for the action”. DSSM 5301. “Boilerplate” justification codes are the antithesis of such regulatory requirements.

Thank you for your consideration of the Committee’s recommendations.

Very truly yours,

Nancy W. Colley
Chairperson

Enclosure

cc: Mr. David Michalik
Ms. Cindy Miller
Mr. William Love
Brian Hartman, Esq.
Beth Mineo Mollica, Ph.D.
July 9, 1998

Nancy W. Colley, Chairperson
DMR Assistive Technology Committee
2055 Limestone Road, Suite 215
Wilmington, De 19808

Dear Mrs. Colley:

Thank you for bringing the DMR Assistive Technology Committee's concerns about the Medicaid Managed Care Organizations' (MCOs) denial notices to my attention.

First, regarding the issue of "a chronic condition" exclusion. In AmeriHealth's denial letter to Easter Seals dated April 4, 1997, the letter stated, "your request has been denied because the medical condition for which speech therapy has been requested is chronic." The Division of Social Services did not approve this exclusion. Medicaid clients cannot be excluded for services related to a chronic condition. AmeriHealth has been instructed to correct this issue. The reason code for "a chronic condition" will be removed from denial letters sent to Medicaid clients.

The second issue raised by your Committee also concerns my staff and I; the MCO denial reasons lack sufficient specificity to be meaningful. We are prepared to address this issue with the MCOs, and begin working with them to provide more detailed explanations in their letters of denial to Medicaid clients.

Again, thank you for bringing these very valid concerns to my attention. I will keep you updated on their progress.

Sincerely,

[Signature]

Philip P. Soule, Sr.
Medicaid Director

PPS/cm/ps

cc: Kay Holmes
    David Michalik
    Cindy Miller
    William Love
    Brian Hartman, Esq.
    Larry Henderson
application to the Department of Education no later than January 31 of the current fiscal year. The Department of Education shall provide a report on the use of said cash/contractual options to the Office of Management and Budget and the Controller General by May 1 of each fiscal year.

Section 361. Pursuant to provisions of 14 Del. C. § 1902(h), all local districts shall be authorized to assess a local match for Fiscal Year 2010 Reading Resource Teachers and Mathematics Resource Teachers/Specialists and Fiscal Year 2008 Extra Time.

Section 362. Notwithstanding any provision of the Delaware Code or this Act to the contrary, and in order to pilot the sharing of certain expenses of public education between school districts, any school district which receives funding under the provisions of 14 Del. C. is authorized to enter into a memorandum of understanding with another school district or school districts for the sharing of central services within such school districts which may use, without limitation, the combining of similar unit funded positions to pay for a shared position to perform the services agreed to and payments between the districts for such shared services, provided that the memorandum of understanding is also approved by the Secretary of the Department of Education, with the concurrence of the Director of the Office of Management and Budget and the Controller General.

Section 363. To ensure that districts and charter schools are implementing the needs based funding system appropriately, the Department of Education shall, in cooperation with the Governor’s Advisory Council for Exceptional Citizens, create a Certification of Earned Staff Units protocol. The results of all monitoring shall be reported at least annually on the department’s website.

Section 364. The provisions of 14 Del. C. c.12 124A, § 154 and § 155, and any implementing regulations in 14 DE Admin Code that the Delaware Department of Education determines to be inconsistent with the Department’s ESEA Flexibility Request as approved by the U.S. Department of Education shall not be applicable to Delaware Public Schools and School Districts, during the flexibility waiver period, and the department is authorized to promulgate interim regulations consistent with said application and approval which shall be effective during the flexibility waiver period. Upon approval by the U.S. Department of Education, the department shall publish updated regulations to be consistent with the approved ESEA Flexibility Request within 60 days. Pursuant to Delaware Code, the regulations shall be subject to the State Board of Education approval.

Section 365. Notwithstanding any language to contrary, for any appropriate purpose, the Department of Education may use an alternative measure to determine low socio-economic status in lieu of the eligibility for free
Tough new test: Fewer students meet standards

The state's tough new standardized test paints a bleaker picture of student performance than previous years, but education leaders say that's only because Delaware is ratcheting up expectations.

Only half of the state's students are proficient in English and fewer than four in 10 are proficient in math, according to results (http://php.delawareonline.com/news/2015/2015-test-scores) of the controversial new Smarter Balanced Assessment released Wednesday.

"The Smarter Assessment is harder and different from any of our past state assessments," said Gov. Jack Markell. "Although we raised the bar considerably, our students performed better than anticipated."

Delaware adopted the Common Core State Standards in 2010, hoping to set a higher academic bar for children and make them more competitive with other states and countries. Smarter Balanced is supposed to judge whether students are reaching that higher bar.

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The computerized test features writing sections and performance tasks that require students to do more than just fill out bubble-sheets. That theoretically means the test shows whether students have really mastered a subject, rather than just knowing enough to guess.

"The main thing here is that we're being honest," Markell said. "We're saying that our students need to have higher standards to be competitive, and I'm confident our educators will rise to that challenge."

The scores are higher than the predictions that came out of a massive, nationwide field test of the exam last year.

"The immediate takeaways was that the results were better than projected by the national field test, but show that we still have areas to grow and improve," wrote State Board of Education Vice President Jorge Melendez, in a statement.

The Department of Education will present more detailed test results, including how minorities and low-income students performed, at the Board's meeting on Sept. 17.

Frederika Jenner, president of the Delaware State Education Association education union, said nobody should rush to draw conclusions from the scores. It will take time for educators at the classroom, school and district level to comb through the figures and see what they can learn.

"As a teacher with 39 years' experience, I know the value of tests," Jenner said. "However, teachers rightfully use a number of other measures and indicators of student progress and learning. [A test] is not a complete picture of a child's level and progress."

Despite enthusiasm from state officials, Smarter Balanced has become a lightning rod for parents and teachers worried about how much Delaware and the rest of the country use, or overuse, test scores.

A bill that would codify a parent's right to remove their children from Smarter Balanced smashed through the Legislature this year after some of the fiercest education debates Delaware has seen in years.

The state Parent Teacher Association and DSEA emphatically supported the bill, saying Smarter Balanced placed too much stress on kids, took too much time out of the classroom, and gave teachers and parents little useful information.

Markell vetoed the bill, but he could be overruled when the Legislature resumes in January.

Lesser students meet standards

Terri Hodges, the state PTA president, said looking through the scores Wednesday didn't do anything to convince her of its value. In almost all cases, she argues, schools with the lowest scores are those facing systemic poverty and other ills.

"The whole point of this, according to the [Department of Education] is supposed to tell us how our children are doing and where the achievement gaps are," Hodges said. "We know where those gaps are already. I don't think that this high-level information is telling us anything we don't already know."

One of the reasons the Markell administration opposes the opt-out movement is the federal government requires states to have a 95 percent participation rate. Delaware met that rate overall, but its juniors didn't—only 90 percent took the reading exam and only 89 percent took the math exam.

Many Smarter Balanced critics have pointed out that juniors, who didn't take the previous statewide test, already have plenty of testing on their schedules, like the SAT and ACT college entrance exams, final exams and Advanced Placement tests.

Because of the low participation rate among juniors, two vo-tech districts, New Castle County and Polytech, and one traditional school district, Christina, didn't meet the 95 percent bar.

Christina's school board, along with those in the Red Clay and Capital districts, passed resolutions endorsing a parent's right to opt-out. Several Christina board members, like John Young, are outspoken opponents of how Delaware uses standardized tests.

"I'm hopeful that this is the beginning of the parent revolution, the parent understanding that these test scores are not useful in any meaningful way," Young said. "I hope Christina's results could inspire action in other places."

Though he personally finds Smarter Balanced useless, Young argued it shows the Markell administration's education strategy hasn't worked by the measures its leaders picked themselves.

Secretary of Education Mark Murphy said no immediate consequences to the state or the local districts are planned, but said the state "is in constant communication" with the feds and the districts.

Another big criticism of Smarter Balanced is how long it took for the results of the test to be processed. Previous tests have seen results distributed well before school starts, but the complexity of Smarter Balanced meant it took far longer to score.

Teachers have complained that it's difficult for them to use test scores to guide their lesson plans if they're receiving those scores right as classes are starting.

Jenner said teachers already have their classrooms laid out and lessons planned. It will take time for them to digest these results and see how they can use them to adjust their teaching.

"This is a very busy time for schools," Jenner said. "It would have been better for all of this to have been rolled out earlier."

Echoed Hodges, with the PTA: "results that come out after the school year is over are meaningless. If this test is intended to help me as a parent, well, my daughter has already moved on."

Murphy acknowledged the delay in scoring.

"Our schools have been looking at this data as the teachers come back, but that's not good enough," he said. "We fully expect we'll be more efficient in the future."

Later this month, parents will start receiving individual score reports showing how their child performed. Students can score from a one, the lowest, to a four, the best.
Tough new test: Fewer students meet standards

Both Markell and Murphy say they expect scores to steadily improve as students spend more of their high school careers learning Common Core in the classroom. A big reason why elementary scores were noticeably better than high school scores, they argued, was because older kids have spent most of their lives learning under the old model.

The real work of improving scores, Markell said, will happen student by student and school by school.

"It's not like all the answers lie in the Department of Education," Markell said. "Many of the best are in the districts. And, in the end, they have responsibility for their own schools."

Susan Bunting, superintendent of the Indian River School District, said she wishes the scores could have come in earlier, but still thinks schools will be able to make good use of them.

"Whenever I had a parent who would call and question the value of this testing, I would try and reinforce that plan we have to use test data to help us make an education richer and better for each individual child," Bunting said.

The district's test scores in math lagged, for example, spurring them to choose a new math curriculum within Common Core. And the district will look at score reports to see which of its schools are making the most progress in individual areas with hopes of replicating success.

"These scores let me go to a school and say, 'okay what are they doing that's allowing them to get these scores?'" Bunting said. "It gives us a sense of what's working."

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